

# fidessa SEC Rule 606 - Order Routing Disclosure

Report: FID606 Firm Name: Esposito Securities LLC

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Quarter Starting On: 01/01/2015

## Exchange: American / Regional Stock Exchange Securities (AMEX)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:	1,109			
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:	100.00%			
Non-Directed Customer Orders That Were:				
	Market	Limit	Other including "Not Held"	
	Percentage	# of Orders	Percentage	# of Orders
	14.25%	158	9.92%	110
			75.83%	841

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
Archipelago Stock Exchange : ARCX	0.18%	2	0.00%	0	0.18%	2	0.00%	0
Barclays Capital Inc./Le : LHMS	28.94%	321	0.09%	1	4.33%	48	24.53%	272
Citadel Securities LLC : CDRG	23.08%	256	9.74%	108	3.79%	42	9.56%	106
ESPOSITO SECURITIES, LLC : ESPO	17.76%	197	1.26%	14	0.90%	10	15.60%	173
Goldman Sachs Execution & Clearing, L.P. : S	3.34%	37	0.00%	0	0.09%	1	3.25%	36
Knight Capital Americas LLC : TRIM	22.81%	253	3.16%	35	0.54%	6	19.12%	212
MERRILL LYNCH, PIERCE, FENNER & SMITH	0.36%	4	0.00%	0	0.00%	0	0.36%	4
Pershing LLC : PERX	0.90%	10	0.00%	0	0.09%	1	0.81%	9
UBS Securities LLC : UBSS	2.61%	29	0.00%	0	0.00%	0	2.61%	29

## Exchange: NASDAQ Stock Exchange Securities (NAS)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:	12,388			
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:	100.00%			
Non-Directed Customer Orders That Were:				
	Market	Limit	Other including "Not Held"	
	Percentage	# of Orders	Percentage	# of Orders
	10.18%	1,261	3.18%	394
			86.64%	10,733

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
Archipelago Stock Exchange : ARCX	2.44%	302	0.00%	0	0.38%	47	2.06%	255
Barclays Capital Inc./Le : LHMS	14.86%	1,841	0.00%	0	0.91%	113	13.95%	1,728
Citadel Securities LLC : CDRG	16.46%	2,039	6.38%	790	1.01%	125	9.07%	1,124

ESPOSITO SECURITIES, LLC : ESPO	7.49%	928	0.58%	72	0.60%	74	6.31%	782
Goldman Sachs Execution & Clearing, L.P. : S	0.77%	95	0.00%	0	0.00%	0	0.77%	95
INSTINET, LLC : INCA	0.04%	5	0.00%	0	0.00%	0	0.04%	5
Knight Capital Americas LLC : NITE	53.20%	6,591	3.22%	399	0.00%	0	49.98%	6,192
MERRILL LYNCH, PIERCE, FENNER & SMITH	0.22%	27	0.00%	0	0.00%	0	0.22%	27
Pershing LLC : PERX	1.58%	196	0.00%	0	0.28%	35	1.30%	161
UBS Securities LLC : UBSS	2.94%	364	0.00%	0	0.00%	0	2.94%	364

### Exchange: New York Stock Exchange Securities (NYSE)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:	38,417			
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:	100.00%			
Non-Directed Customer Orders That Were:				
	Market	Limit	Other including "Not Held"	
	Percentage	# of Orders	Percentage	# of Orders
	11.72%	4,504	3.13%	1,202
			85.15%	32,711

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
Archipelago Stock Exchange : ARCX	1.98%	759	0.00%	1	0.17%	66	1.80%	692
Barclays Capital Inc./Le : LHMS	12.99%	4,991	0.02%	7	0.84%	322	12.14%	4,662
Citadel Securities LLC : CDRG	19.23%	7,388	6.88%	2,642	1.43%	549	10.92%	4,197
ESPOSITO SECURITIES, LLC : ESPO	9.47%	3,639	0.80%	307	0.58%	224	8.09%	3,108
Goldman Sachs Execution & Clearing, L.P. : S	1.18%	452	0.00%	0	0.01%	5	1.16%	447
INSTINET, LLC : INCA	0.03%	13	0.00%	0	0.00%	0	0.03%	13
Knight Capital Americas LLC : TRIM	50.96%	19,576	4.03%	1,547	0.03%	13	46.90%	18,016
MERRILL LYNCH, PIERCE, FENNER & SMITH	0.06%	22	0.00%	0	0.00%	1	0.05%	21
Nasdaq Execution Services, LLC. : NSDQ	0.01%	4	0.00%	0	0.01%	4	0.00%	0
Pershing LLC : PERX	0.17%	67	0.00%	0	0.04%	14	0.14%	53
SPEEDROUTE LLC : SPDR	0.02%	6	0.00%	0	0.01%	4	0.01%	2
UBS Securities LLC : UBSS	3.90%	1,500	0.00%	0	0.00%	0	3.90%	1,500

## End of SEC Rule 606 - Order Routing Disclosure Report