fidessa SEC Rule 606 - Order Routing Disclosure

Report: FID606 Firm Name: Esposito Securities LLC

Run on: 31 Mar, 2015 at 19:36 Activity Date: 03/31/2015 Quarter Starting On: 01/01/2015

12.388

Exchange: American / Regional Stock Exchange Securities (AMEX)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000: 1,109 Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000: 100.00%

Non-Directed Customer Orders That Were: Limit Other including "Not Held" Market Percentage # of Orders Percentage # of Orders Percentage # of Orders 14.25% 9.92% 75.83% 158 110 841

				11.2070	100	0.0270	110	7 0.00 70	011
Venue		Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
		Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
	Archipelago Stock Exchange : ARCX	0.18%	2	0.00%	0	0.18%	2	0.00%	0
	Barclays Capital Inc./Le : LHMS	28.94%	321	0.09%	1	4.33%	48	24.53%	272
	Citadel Securities LLC : CDRG	23.08%	256	9.74%	108	3.79%	42	9.56%	106
	ESPOSITO SECURITIES, LLC : ESPO	17.76%	197	1.26%	14	0.90%	10	15.60%	173
	Goldman Sachs Execution & Clearing, L.P. : S	3.34%	37	0.00%	0	0.09%	1	3.25%	36
	Knight Capital Americas LLC : TRIM	22.81%	253	3.16%	35	0.54%	6	19.12%	212
	MERRILL LYNCH, PIERCE, FENNER & SMITH	0.36%	4	0.00%	0	0.00%	0	0.36%	4
	Pershing LLC : PERX	0.90%	10	0.00%	0	0.09%	1	0.81%	9
	UBS Securities LLC : UBSS	2.61%	29	0.00%	0	0.00%	0	2.61%	29

Exchange: NASDAQ Stock Exchange Securities (NAS)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:

Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:

100.00%

Non-Directed Customer Orders That Were: Market I imit Other including "Not Held" Percentage # of Orders Percentage # of Orders Percentage # of Orders 10.18% 1,261 3.18% 394 86.64% 10,733 **Total Non-Directed Orders Non-Directed Market Orders Non-Directed Limit Orders Non-Directed Other Orders** Venue Percentage # of Orders Percentage # of Orders Percentage # of Orders Percentage # of Orders Archipelago Stock Exchange: ARCX 2.44% 302 0.00% 0 0.38% 47 2.06% 255 14.86% 0.00% 0 0.91% 13.95% 1.728 Barclays Capital Inc./Le: LHMS 1.841 113 Citadel Securities LLC: CDRG 16.46% 2,039 6.38% 790 1.01% 125 9.07% 1,124

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ESPOSITO SECURITIES, LLC: ESPO	7.49%	928	0.58%	72	0.60%	74	6.31%	782
Goldman Sachs Execution & Clearing, L.P.: S	0.77%	95	0.00%	0	0.00%	0	0.77%	95
INSTINET, LLC: INCA	0.04%	5	0.00%	0	0.00%	0	0.04%	5
Knight Capital Americas LLC : NITE	53.20%	6,591	3.22%	399	0.00%	0	49.98%	6,192
MERRILL LYNCH, PIERCE, FENNER & SMITH	0.22%	27	0.00%	0	0.00%	0	0.22%	27
Pershing LLC : PERX	1.58%	196	0.00%	0	0.28%	35	1.30%	161
UBS Securities LLC : UBSS	2.94%	364	0.00%	0	0.00%	0	2.94%	364

Exchange: New York Stock Exchange Securities (NYSE)

Non-Directed Customer Orders That Were:

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:

Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:

Market Limit Other including "Not Held"

38,417

100.00%

Non-birected dustomer Orders That Were.		Market		LIIIIL		Other including Not held	
		Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders 32,711
Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
1.98%	759	0.00%	1	0.17%	66	1.80%	692
12.99%	4,991	0.02%	7	0.84%	322	12.14%	4,662
19.23%	7,388	6.88%	2,642	1.43%	549	10.92%	4,197
9.47%	3,639	0.80%	307	0.58%	224	8.09%	3,108
1.18%	452	0.00%	0	0.01%	5	1.16%	447
0.03%	13	0.00%	0	0.00%	0	0.03%	13
50.96%	19,576	4.03%	1,547	0.03%	13	46.90%	18,016
0.06%	22	0.00%	0	0.00%	1	0.05%	21
0.01%	4	0.00%	0	0.01%	4	0.00%	0
0.17%	67	0.00%	0	0.04%	14	0.14%	53
0.02%	6	0.00%	0	0.01%	4	0.01%	2
3.90%	1,500	0.00%	0	0.00%	0	3.90%	1,500
	Total Non-Di Percentage 1.98% 12.99% 19.23% 9.47% 1.18% 0.03% 50.96% 0.06% 0.01% 0.17% 0.02%	Total Non-Directed Orders Percentage # of Orders 1.98% 759 12.99% 4,991 19.23% 7,388 9.47% 3,639 1.18% 452 0.03% 13 50.96% 19,576 0.06% 22 0.01% 4 0.17% 67 0.02% 6	Percentage 11.72% Total Non-Directed Orders Percentage # of Orders Non-Directed Percentage 1.98% 759 0.00% 12.99% 4,991 0.02% 19.23% 7,388 6.88% 9.47% 3,639 0.80% 1.18% 452 0.00% 0.03% 13 0.00% 50.96% 19,576 4.03% 0.06% 22 0.00% 0.01% 4 0.00% 0.17% 67 0.00% 0.02% 6 0.00%	Percentage 11.72% # of Orders 4,504 Total Non-Directed Orders Percentage # of Orders Percentage 1.98% # of Orders Percentage Percentage # of Orders 1.98% 759 0.00% 1 12.99% 4,991 0.02% 7 19.23% 7,388 6.88% 2,642 9.47% 3,639 0.80% 307 1.18% 452 0.00% 0 0.03% 13 0.00% 0 50.96% 19,576 4.03% 1,547 0.06% 22 0.00% 0 0.01% 4 0.00% 0 0.17% 67 0.00% 0 0.02% 6 0.00% 0	Percentage	Total Non-Directed Orders Percentage Non-Directed Market Orders Percentage Non-Directed Limit Orders Percentage # of Orders 1.98% 759 0.00% 1 0.17% 66 12.99% 4,991 0.02% 7 0.84% 322 19.23% 7,388 6.88% 2,642 1.43% 549 9.47% 3,639 0.80% 307 0.58% 224 1.18% 452 0.00% 0 0.01% 5 0.03% 13 0.00% 0 0.00% 0 50.96% 19,576 4.03% 1,547 0.03% 13 0.06% 22 0.00% 0 0.00% 1 0.01% 4 0.00% 0 0.01% 4 0.17% 67 0.00% 0 0.04% 14 0.02% 6 0.00% 0 0.01%	Total Non-Directed Orders Percentage Mon-Directed Orders Percentage Mon-Directed Orders Percentage Non-Directed Orders Percentage Non-Directed Percentage Non-Directed Percentage Non-Directed Percentage Percentage Non-Directed Percentage Percentage Non-Directed Percentage Percentage Non-Directed Percentage Percentage Non-D

End of SEC Rule 606 - Order Routing Disclosure Report