

# fidessa SEC Rule 606 - Order Routing Disclosure

Report: FID606 Firm Name: Esposito Securities LLC

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Quarter Starting On: 04/01/2014

## Exchange: American / Regional Stock Exchange Securities (AMEX)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:	2,039			
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:	100.00%			
Non-Directed Customer Orders That Were:				
	Market	Limit	Other including "Not Held"	
	Percentage	# of Orders	Percentage	# of Orders
	24.33%	496	5.84%	119
			69.84%	1,424

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
Archipelago Stock Exchange : ARCX	22.81%	465	0.00%	0	0.74%	15	22.07%	450
Barclays Capital Inc./Le : LHMS	16.63%	339	1.47%	30	2.11%	43	13.05%	266
Citadel Securities LLC : CDRG	10.45%	213	8.53%	174	0.25%	5	1.67%	34
ESPOSITO SECURITIES, LLC : ESPO	17.51%	357	2.26%	46	0.44%	9	14.81%	302
Goldman Sachs Execution & Clearing, L.P. : S	7.26%	148	0.74%	15	0.74%	15	5.79%	118
Knight Capital Americas LLC : TRIM	0.15%	3	0.05%	1	0.00%	0	0.10%	2
Pershing LLC : PERX	1.72%	35	0.00%	0	0.00%	0	1.72%	35
UBS Securities LLC : UBSS	23.49%	479	11.28%	230	1.57%	32	10.64%	217

## Exchange: NASDAQ Stock Exchange Securities (NAS)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:	23,181			
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:	100.00%			
Non-Directed Customer Orders That Were:				
	Market	Limit	Other including "Not Held"	
	Percentage	# of Orders	Percentage	# of Orders
	20.88%	4,840	1.41%	327
			77.71%	18,014

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
Archipelago Stock Exchange : ARCX	18.39%	4,263	0.00%	1	0.22%	51	18.17%	4,211
Barclays Capital Inc./Le : LHMS	9.04%	2,095	0.18%	41	0.48%	112	8.38%	1,942
Citadel Securities LLC : CDRG	9.97%	2,312	7.07%	1,639	0.08%	19	2.82%	654
ESPOSITO SECURITIES, LLC : ESPO	16.02%	3,713	2.07%	479	0.12%	28	13.83%	3,206

Goldman Sachs Execution & Clearing, L.P. : S	4.00%	927	0.09%	22	0.16%	37	3.74%	868
Knight Capital Americas LLC : NITE	7.20%	1,668	0.91%	212	0.00%	1	6.28%	1,455
Nasdaq Execution Services, LLC. : NSDQ	0.32%	75	0.00%	0	0.00%	0	0.32%	75
Pershing LLC : PERX	14.34%	3,324	0.36%	83	0.03%	7	13.95%	3,234
UBS Securities LLC : UBSS	20.72%	4,804	10.19%	2,363	0.31%	72	10.22%	2,369

### Exchange: New York Stock Exchange Securities (NYSE)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000: 58,777

Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000: 100.00%

Non-Directed Customer Orders That Were:

Market		Limit		Other including "Not Held"	
Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
26.88%	15,801	1.96%	1,153	71.16%	41,823

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
Archipelago Stock Exchange : ARCX	1.20%	706	0.00%	0	0.03%	17	1.17%	689
Barclays Capital Inc./Le : LHMS	12.18%	7,160	0.38%	224	0.82%	481	10.98%	6,455
Citadel Securities LLC : CDRG	14.70%	8,639	9.26%	5,444	0.11%	67	5.32%	3,128
ESPOSITO SECURITIES, LLC : ESPO	23.20%	13,636	3.41%	2,004	0.22%	129	19.57%	11,503
Goldman Sachs Execution & Clearing, L.P. : S	3.02%	1,778	0.18%	104	0.14%	82	2.71%	1,592
Knight Capital Americas LLC : TRIM	5.85%	3,436	0.92%	539	0.01%	6	4.92%	2,891
Nasdaq Execution Services, LLC. : NSDQ	0.30%	175	0.00%	0	0.00%	0	0.30%	175
Pershing LLC : PERX	9.70%	5,701	0.48%	281	0.01%	5	9.21%	5,415
UBS Securities LLC : UBSS	29.85%	17,546	12.26%	7,205	0.62%	366	16.97%	9,975

## End of SEC Rule 606 - Order Routing Disclosure Report