

fidessa SEC Rule 606 - Order Routing Disclosure

Report: FID606 Firm Name: Esposito Securities LLC

Run on: 30 Jun, 2015 at 14:44
Activity Date: 06/30/2015
Quarter Starting On: 04/01/2015

Exchange: American / Regional Stock Exchange Securities (AMEX)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:	905																				
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:	100.00%																				
Non-Directed Customer Orders That Were:																					
	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%;"></td> <td style="width: 25%; text-align: center;">Market</td> <td style="width: 25%; text-align: center;">Limit</td> <td style="width: 25%; text-align: center;">Other including "Not Held"</td> </tr> <tr> <td></td> <td style="text-align: center;">Percentage</td> <td style="text-align: center;"># of Orders</td> <td style="text-align: center;">Percentage</td> </tr> <tr> <td></td> <td style="text-align: center;">17.35%</td> <td style="text-align: center;">157</td> <td style="text-align: center;">6.52%</td> </tr> <tr> <td></td> <td></td> <td style="text-align: center;">59</td> <td style="text-align: center;">76.13%</td> </tr> <tr> <td></td> <td></td> <td></td> <td style="text-align: center;">689</td> </tr> </table>		Market	Limit	Other including "Not Held"		Percentage	# of Orders	Percentage		17.35%	157	6.52%			59	76.13%				689
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	17.35%	157	6.52%																		
		59	76.13%																		
			689																		

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
ADCAP SECURITIES LLC : ADCP	0.11%	1	0.00%	0	0.00%	0	0.11%	1
Barclays Capital Inc./Le : LHMS	14.81%	134	0.11%	1	0.66%	6	14.03%	127
CLEARPOOL EXECUTION SERVICES, LLC :	1.66%	15	0.00%	0	0.00%	0	1.66%	15
Citadel Securities LLC : CDRG	62.10%	562	11.38%	103	5.30%	48	45.41%	411
ESPOSITO SECURITIES, LLC : ESPO	12.49%	113	1.44%	13	0.55%	5	10.50%	95
Knight Capital Americas LLC : TRIM	8.84%	80	4.42%	40	0.00%	0	4.42%	40

Exchange: NASDAQ Stock Exchange Securities (NAS)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:	10,982																				
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:	100.00%																				
Non-Directed Customer Orders That Were:																					
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		187	90.56%																		
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Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
Archipelago Stock Exchange : ARCX	0.90%	99	0.00%	0	0.00%	0	0.90%	99
Barclays Capital Inc./Le : LHMS	9.37%	1,029	0.05%	5	0.14%	15	9.19%	1,009
CLEARPOOL EXECUTION SERVICES, LLC :	3.54%	389	0.01%	1	0.04%	4	3.50%	384
Citadel Securities LLC : CDRG	71.86%	7,892	5.32%	584	1.37%	150	65.18%	7,158
ESPOSITO SECURITIES, LLC : ESPO	4.63%	509	0.46%	51	0.15%	16	4.02%	442
Goldman Sachs Execution & Clearing, L.P. : S	0.01%	1	0.00%	0	0.00%	0	0.01%	1

Knight Capital Americas LLC : NITE	9.42%	1,035	1.90%	209	0.01%	1	7.51%	825
MERRILL LYNCH, PIERCE, FENNER & SMITH	0.15%	16	0.00%	0	0.00%	0	0.15%	16
Nasdaq Execution Services, LLC. : NSDQ	0.01%	1	0.00%	0	0.01%	1	0.00%	0
Pershing LLC : PERX	0.10%	11	0.00%	0	0.00%	0	0.10%	11

Exchange: New York Stock Exchange Securities (NYSE)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000: 33,664

Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000: 100.00%

Non-Directed Customer Orders That Were:

	Market		Limit		Other including "Not Held"	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
	9.44%	3,177	1.89%	637	88.67%	29,850

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
Archipelago Stock Exchange : ARCX	0.32%	107	0.00%	0	0.01%	2	0.31%	105
Barclays Capital Inc./Le : LHMS	7.71%	2,597	0.05%	18	0.38%	128	7.28%	2,451
CLEARPOOL EXECUTION SERVICES, LLC :	0.53%	177	0.00%	0	0.00%	0	0.53%	177
Citadel Securities LLC : CDRG	75.73%	25,495	5.79%	1,948	1.35%	453	68.60%	23,094
ESPOSITO SECURITIES, LLC : ESPO	5.16%	1,737	0.61%	206	0.12%	42	4.42%	1,489
Knight Capital Americas LLC : TRIM	10.47%	3,526	2.99%	1,005	0.03%	9	7.46%	2,512
MERRILL LYNCH, PIERCE, FENNER & SMITH	0.06%	19	0.00%	0	0.00%	0	0.06%	19
Nasdaq Execution Services, LLC. : NSDQ	0.01%	4	0.00%	0	0.01%	3	0.00%	1
Pershing LLC : PERX	0.01%	2	0.00%	0	0.00%	0	0.01%	2

End of SEC Rule 606 - Order Routing Disclosure Report