

# fidessa SEC Rule 606 - Order Routing Disclosure

Report: FID606 Firm Name: Esposito Securities LLC

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Quarter Starting On: 04/01/2016

## Exchange: American / Regional Stock Exchange Securities (AMEX)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000: 963

Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000: 100.00%

Non-Directed Customer Orders That Were:

		Market		Limit		Other including "Not Held"	
		Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
		0.21%	2	3.32%	32	96.47%	929

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
Barclays Capital Inc./Le : LEHM	15.37%	148	0.00%	0	0.31%	3	15.06%	145
CLEARPOOL EXECUTION SERVICES, LLC :	0.73%	7	0.00%	0	0.00%	0	0.73%	7
Citadel Securities LLC : CDRG	35.62%	343	0.10%	1	2.39%	23	33.13%	319
ESPOSITO SECURITIES, LLC : ESPO	18.17%	175	0.00%	0	0.10%	1	18.07%	174
GOLDMAN, SACHS & CO. : GSCS	2.80%	27	0.00%	0	0.00%	0	2.80%	27
Goldman, Sachs & Co. : GSCO	5.50%	53	0.00%	0	0.00%	0	5.50%	53
MERRILL LYNCH, PIERCE, FENNER & SMITH	21.81%	210	0.10%	1	0.52%	5	21.18%	204

## Exchange: BATS Stock Exchange Securities (BAT)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000: 5

Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000: 100.00%

Non-Directed Customer Orders That Were:

		Market		Limit		Other including "Not Held"	
		Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
		0.00%	0	0.00%	0	100.00%	5

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
Citadel Securities LLC : CDRG	100.00%	5	0.00%	0	0.00%	0	100.00%	5

## Exchange: NASDAQ Stock Exchange Securities (NAS)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:

13,713

Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:

100.00%

Non-Directed Customer Orders That Were:

Venue	Total Non-Directed Orders		Market		Limit		Other including "Not Held"	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
			1.31%	180	0.75%	103	97.94%	13,430
Archipelago Stock Exchange : ARCX	0.12%	16	0.00%	0	0.00%	0	0.12%	16
Barclays Capital Inc./Le : LEHM	15.35%	2,105	0.02%	3	0.01%	2	15.31%	2,100
Barclays Capital Inc./Le : LHMS	0.07%	10	0.00%	0	0.00%	0	0.07%	10
CLEARPOOL EXECUTION SERVICES, LLC :	0.01%	2	0.00%	0	0.00%	0	0.01%	2
CLEARPOOL EXECUTION SERVICES, LLC :	0.55%	76	0.00%	0	0.00%	0	0.55%	76
Citadel Securities LLC : CDRG	58.47%	8,018	1.28%	176	0.74%	101	56.45%	7,741
ESPOSITO SECURITIES, LLC : ESPO	6.21%	852	0.00%	0	0.00%	0	6.21%	852
GOLDMAN, SACHS & CO. : GSCS	0.72%	99	0.00%	0	0.00%	0	0.72%	99
Goldman Sachs Execution & Clearing, L.P. : S	0.02%	3	0.00%	0	0.00%	0	0.02%	3
Goldman, Sachs & Co. : GSCO	4.46%	612	0.00%	0	0.00%	0	4.46%	612
MERRILL LYNCH, PIERCE, FENNER & SMITH	14.00%	1,920	0.01%	1	0.00%	0	13.99%	1,919

**Exchange: New York Stock Exchange Securities (NYSE)**

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:

41,458

Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:

100.00%

Non-Directed Customer Orders That Were:

Venue	Total Non-Directed Orders		Market		Limit		Other including "Not Held"	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
			1.42%	590	1.08%	448	97.50%	40,420
Archipelago Stock Exchange : ARCX	0.02%	9	0.00%	1	0.00%	2	0.01%	6
Barclays Capital Inc./Le : LEHM	13.34%	5,532	0.00%	2	0.08%	32	13.26%	5,498
Barclays Capital Inc./Le : LHMS	0.07%	28	0.00%	0	0.00%	0	0.07%	28
CLEARPOOL EXECUTION SERVICES, LLC :	0.23%	96	0.00%	0	0.01%	5	0.22%	91
Citadel Securities LLC : CDRG	57.20%	23,713	1.40%	579	0.91%	377	54.89%	22,757
ESPOSITO SECURITIES, LLC : ESPO	7.34%	3,045	0.00%	0	0.01%	4	7.34%	3,041
GOLDMAN, SACHS & CO. : GSCS	0.81%	337	0.00%	0	0.02%	9	0.79%	328

Goldman Sachs Execution & Clearing, L.P. : S	0.00%	1	0.00%	0	0.00%	0	0.00%	1
Goldman, Sachs & Co. : GSCO	5.63%	2,334	0.00%	0	0.00%	0	5.63%	2,334
INTL FCSTONE FINANCIAL INC. : INTL	0.00%	1	0.00%	0	0.00%	0	0.00%	1
MERRILL LYNCH, PIERCE, FENNER & SMITH	15.32%	6,352	0.02%	8	0.05%	19	15.26%	6,325
Barclays Capital Inc./Le : LEHM	0.00%	2	0.00%	0	0.00%	0	0.00%	2
Citadel Securities LLC : CDRG	0.01%	4	0.00%	0	0.00%	0	0.01%	4
GOLDMAN, SACHS & CO. : GSCS	0.00%	1	0.00%	0	0.00%	0	0.00%	1
MERRILL LYNCH, PIERCE, FENNER & SMITH	0.01%	3	0.00%	0	0.00%	0	0.01%	3

## End of SEC Rule 606 - Order Routing Disclosure Report