

fidessa SEC Rule 606 - Order Routing Disclosure

Report: FID606 Firm Name: Esposito Securities LLC

Run on: 30 Jun, 2017 at 20:14
Activity Date: 06/30/2017
Quarter Starting On: 04/01/2017

Exchange: ASE and All Other National Exchanges

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:	1,719			
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:	100.00%			
Non-Directed Customer Orders That Were:				
	Market	Limit	Other including "Not Held"	
	Percentage	# of Orders	Percentage	# of Orders
	2.91%	50	3.78%	65
			93.31%	1,604

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
Archipelago Stock Exchange : ARCX	1.86%	32	0.00%	0	0.00%	0	1.86%	32
CLEARPOOL EXECUTION SERVICES, LLC :	23.33%	401	0.70%	12	0.81%	14	21.82%	375
Citadel Securities LLC : CDRG	60.79%	1,045	1.75%	30	2.09%	36	56.95%	979
ESPOSITO SECURITIES, LLC : ESPO	7.85%	135	0.29%	5	0.00%	0	7.56%	130
GOLDMAN SACHS & CO. LLC : GSCO	1.57%	27	0.00%	0	0.00%	0	1.57%	27
GOLDMAN SACHS & CO. LLC : GSCS	4.30%	74	0.17%	3	0.87%	15	3.26%	56
INTL FCSTONE FINANCIAL INC. : INTL	0.29%	5	0.00%	0	0.00%	0	0.29%	5

Exchange: NASDAQ Stock Exchange Securities (NAS)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:	13,445			
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:	100.00%			
Non-Directed Customer Orders That Were:				
	Market	Limit	Other including "Not Held"	
	Percentage	# of Orders	Percentage	# of Orders
	1.16%	156	1.38%	185
			97.46%	13,104

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
Archipelago Stock Exchange : ARCX	3.93%	528	0.01%	1	0.52%	70	3.40%	457
CLEARPOOL EXECUTION SERVICES, LLC :	18.99%	2,553	0.23%	31	0.22%	29	18.54%	2,493
Citadel Securities LLC : CDRG	67.71%	9,103	0.71%	95	0.55%	74	66.45%	8,934
ESPOSITO SECURITIES, LLC : ESPO	7.90%	1,062	0.21%	28	0.09%	12	7.60%	1,022
GOLDMAN SACHS & CO. LLC : GSCO	0.54%	72	0.00%	0	0.00%	0	0.54%	72

Exchange: New York Stock Exchange Securities (NYSE)

Non-Directed Customer Orders Having a Market Value Less Than \$200,000:	41,213					
Non-Directed Customer Orders as a Percentage of Total Customer Orders Having a Market Value Less Than \$200,000:	100.00%					
Non-Directed Customer Orders That Were:						
	Market	Limit	Other including "Not Held"			
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
	1.82%	750	1.42%	587	96.76%	39,876

Venue	Total Non-Directed Orders		Non-Directed Market Orders		Non-Directed Limit Orders		Non-Directed Other Orders	
	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders	Percentage	# of Orders
Archipelago Stock Exchange : ARCX	3.95%	1,628	0.04%	15	0.52%	216	3.39%	1,397
CLEARPOOL EXECUTION SERVICES, LLC :	19.26%	7,938	0.44%	183	0.35%	145	18.47%	7,610
Citadel Securities LLC : CDRG	65.90%	27,159	1.17%	484	0.44%	183	64.28%	26,492
ESPOSITO SECURITIES, LLC : ESPO	8.57%	3,534	0.15%	61	0.09%	37	8.34%	3,436
GOLDMAN SACHS & CO. LLC : GSCO	0.86%	353	0.00%	0	0.00%	2	0.85%	351
GOLDMAN SACHS & CO. LLC : GSCS	1.43%	591	0.01%	5	0.01%	3	1.41%	583
ITG Inc. : ITGI	0.01%	4	0.00%	0	0.00%	1	0.01%	3
CLEARPOOL EXECUTION SERVICES, LLC :	0.01%	4	0.00%	0	0.00%	0	0.01%	4
Citadel Securities LLC : CDRG	0.00%	2	0.00%	2	0.00%	0	0.00%	0

End of SEC Rule 606 - Order Routing Disclosure Report